

05-09-01

CPA/2838

<div style="display: flex; justify-content: space-between;"> <div style="border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;"> OIP MAY 08 2001 </div> <div> CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity) Submit an original, and a duplicate for fee processing. <small>(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))</small> </div> </div>		Docket No. 66,291-155 (ABB 8245) <input type="checkbox"/> DUPLICATE <small>(Check box if applicable)</small>			
First Named Inventor	Examiner	Group/Art Unit			
LEIJON	RILEY, E.	2838			
Address to: Assistant Commissioner for Patents Box CPA Washington, D.C. 20231					
This is a request for filing a <input checked="" type="checkbox"/> continuation, or <input type="checkbox"/> divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number <u>08/973,306</u> filed on <u>04/10/1998</u> and entitled: A ROTATING ASYNCHRONOUS CONVERTER AND A GENERATOR DEVICE (AS AMENDED)					
1. <input type="checkbox"/> Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application. 2. <input type="checkbox"/> A preliminary amendment is enclosed. 3. <input type="checkbox"/> This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4). a. <input type="checkbox"/> DELETE the following inventor(s) named in the prior nonprovisional application: b. <input type="checkbox"/> The inventor(s) to be deleted are set forth on a separate sheet attached hereto. 4. <input type="checkbox"/> A new power of attorney or authorization of agent is enclosed. 5. <input type="checkbox"/> An Information Disclosure Statement (IDS) is enclosed: a. <input type="checkbox"/> PTO-1449 b. <input type="checkbox"/> Copies of IDS Citations 6. <input checked="" type="checkbox"/> The fee for this application is calculated as follows:					
CLAIMS AS FILED					
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	51	- 20 =	31	x \$18.00	\$558.00
Indep. Claims	6	- 3 =	3	x \$80.00	\$240.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
TOTAL FILING FEE					\$1,508.00

Arlene
6/7/81 PA #23

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05/10/2001 AZERGAW1 00000068 042223 08973306

01 IC:131 710.00 CH
02 IC:103 558.00 CH
03 IC:102 240.00 CH

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to
Deposit Account No. 04-2223

- ☒ fees required under 37 C.F.R. 1.16. (Filing Fee \$1,508.00)
- ☒ fees required under 37 C.F.R. 1.17.
- ☐ fees required under 37 C.F.R. 1.18.

8. ☐ A check in the amount of _____ is enclosed.

9. ☒ Also enclosed:

**COPY OF NOTIFICATION OF FILING OF CONTINUING, DIVISIONAL OR CONTINUED PROSECUTION
APPLICATION and CONDITIONAL PETITION AND FEE FOR EXTENSION OF TIME**

10. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address
is provided below:

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: MAY 8, 2001



Signature

JOHN W. REES

Typed or printed name

38,278

Registration Number (if applicable)



26127

PATENT TRADEMARK OFFICE

cc:

- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Attorney or agent of record

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **LEIJON**

Docket No.

66,291-155 (ABB 8245)

Serial No.

08/973,306

Filing Date

04/10/1998

Examiner

RILEY, E.

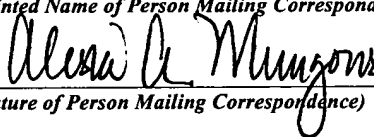
Group Art Unit

2838Invention: **EA ROTATING ASYNCHRONOUS CONVERTER AND A GENERATOR DEVICE (AS AMENDED)**

I hereby certify that the following correspondence:

**CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity);
copy of NOTIFICATION OF FILING OF CONTINUED PROSECUTION APPLICATION; and
CONDITIONAL PETITION AND FEE FOR EXTENSION OF TIME***(Identify type of correspondence)*

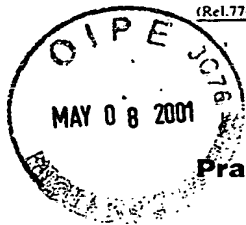
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

MAY 8, 2001*(Date)***ALESIA A. MUNGONS***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EF000854463US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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PATENT TRADEMARK OFFICE



Practitioner's Docket No. 66,291-155
(ABB 8245)

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Leijon

Application No.: 08 / 973,306

Group No.: 2838

Filed: 04/10/1998

Examiner: Riley, E.

For: A ROTATING ASYNCHRONOUS CONVERTER AND A GENERATOR DEVICE (AS AMENDED)

Assistant Commissioner for Patents
Washington, D.C. 20231

**NOTIFICATION OF FILING OF CONTINUING,
DIVISIONAL OR CONTINUED PROSECUTION APPLICATION**

Notification is hereby being made of the filing of a:

- ☐ continuation
☐ continuation-in-part
☐ divisional
☒ continued prosecution

application for this case

- ☒ concurrently herewith.
☐ on _____

Date

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CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

- ☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"
Mailing Label No. _____ (mandatory)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Alesia A. Mungons

(type or print name of person certifying)

Date: 05/08/01

***WARNING:** Each paper or fee filed by Express Mail **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application [4-9] (page 1 of 2))